

## ORIGINAL ARTICLE

# Influence of formulation and process parameters on the characteristics of PLGA-based microparticles with controlled drug release

## Vliv formulačních a procesních parametrů na vlastnosti PLGA mikročástic s řízeným uvolňováním léčiva

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### Summary

PLGA microparticles for sustained release of ibuprofen as the model drug were prepared by the O/W solvent evaporation method under altering stirring speed (600, 1000 rpm), emulsifier concentration (PVA concentration 0.1%, 1%) and organic solvent selection (dichloromethane, ethyl acetate). The obtained results confirmed the effect of selected formulation and process parameters on the properties of prepared PLGA-based microparticles. An influence on encapsulation efficiency, yield, morphological properties, mean size and drug release was observed. Increased stirring speed within the solvent evaporation process resulted in a decrease of encapsulation efficiency, yield and mean size but the incorporated drug was released faster. Increased PVA concentration in the external emulsion phase brought the same results except the ibuprofen release rate. Microparticles prepared with dichloromethane as the organic solvent exhibited higher sphericity, a more regular shape with a smooth surface, and thus dichloromethane was considered to be a more suitable organic solvent in comparison with ethyl acetate for this purpose.

**Keywords:** microparticles • solvent evaporation • PLGA • controlled release • burst effect

### Souhrn

PLGA mikročástice pro prodloužené uvolňování modelového léčiva ibuprofenu se připravily metodou odpaření rozpouštědla z jednoduché emulze O/V, s různými rychlostmi otáček míchadla (600, 1000 rpm), různou koncentrací emulgátoru (0,1%, 1% PVA) a za použití odlišných organických rozpouštědel (dichlormethan, ethylacetát). Z dosažených výsledků je zřejmé, že zvolené formulační a procesní proměnné ovlivňovaly vlastnosti připravených PLGA mikročástic, a to jejich enkapsulační účinnost, výtěžek, morfologii, velikost částic i uvolňování léčiva. Zvýšení rychlosti otáčení míchadla v procesu odpařování rozpouštědla vedlo ke snížení enkapsulační účinnosti, výtěžku procesu i velikosti mikročástic. Uvolňování léčiva však probíhalo rychleji. Vyšší koncentrace PVA ve vnější fázi emulze měla za následek stejný vliv na sledované vlastnosti s výjimkou rychlosti uvolňování ibuprofenu. Mikročástice připravené při použití dichlormethanu se vyznačovaly vyšší sfericitou, pravidelným tvarem s hladkým povrchem, a vykazovaly tedy lepší kvalitativní vlastnosti ve srovnání s mikročásticemi připravenými při použití ethylacetátu.

**Klíčová slova:** mikročástice • odpaření rozpouštědla • PLGA • řízené uvolňování • burst effect

### Introduction

Microparticles represent a modern and perspective dosage form for controlled delivery of pharmaceuticals. They are defined as solid particles with an approximately round shape and a size between several and hundreds of micrometres. The first subtype, microcapsules have a solid, liquid or gaseous core containing a drug surrounded by a solid shell. The second subtype, microspheres are formed by a matrix without a differentiated core and shell. A drug is spread through the matrix more or less evenly<sup>1</sup>.

Various methods have been developed to prepare microparticles with sustained drug release. One of the

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most widely employed techniques is the solvent evaporation method covering several modifications. Selection of a suitable modification is primarily determined by the drug solubility in various solvents<sup>2,3)</sup>.

O/W single emulsion modification, frequently used for microspheres preparation, consists of several steps. Firstly in this process, the polymer is dissolved in a water immiscible solvent, and the drug intended for encapsulation is dispersed or dissolved in the polymeric solution. Consequently, the resultant solution is emulsified in an aqueous continuous phase to form droplets. Within agitation, the microspheres harden as the solvent evaporation and polymer precipitation occur. Emulsification process mainly affects microparticle size distribution and the conditions of solvent evaporation determine the microparticle morphology, and they are also considered as the critical point for encapsulation efficiency and release behavior of microparticles<sup>4)</sup>. O/W modification is preferably suitable for incorporation of drugs with poor solubility in water<sup>5)</sup>.

Generally, the solvent evaporation method is the most popular technique for preparing poly(lactid-co-glycolic acid) (PLGA) microspheres. This method yields in uniform particle size and has good reproducibility<sup>6)</sup>. PLGA microparticles offer various benefits: 1. possibility to accurately control the resulting drug release kinetics over periods of days to months; 2. complete biodegradability (avoiding the removal of empty remnants upon drug exhaust); 3. good biocompatibility, even if directly administered into the brain tissue<sup>7)</sup>.

The main objective of this study was to prepare biodegradable PLGA microparticles with content of a model drug practically insoluble in water and to observe potential influences of formulation and process variables on the characteristics of the prepared microparticles. For this reason solvent evaporation from O/W single emulsion was chosen.

## Experimental part

### Materials

Ibuprofen - IBU (Zentiva, Prague, Czech Republic) was used as the poor soluble model drug, PLGA Resomer® RG 504 H (Boehringer Ingelheim, Pharma GmbH & Co, Ingelheim am Rhein, Germany) was used as a polymer carrier. Dichloromethane – DM (Penta, Praha, Czech Republic) and ethyl acetate – EA (Dr. Kulich Pharma, Hradec Králové, Czech Republic) were used as solvents, and polyvinyl alcohol – PVA (Sigma Aldrich, St. Louis, USA) as an emulsifier. Phosphate buffer of pH 6.8 (dodecahydrate sodium hydrogenphosphate, potassium dihydrogenphosphate – both Merck KGaA, Darmstadt, Germany) was applied as the dissolution medium. All materials were of Ph. Eur. Quality.

### Microparticle preparation

PLGA microparticles were prepared by the O/W modification of the solvent evaporation method. The process was carried out at laboratory temperature and pressure. To form the internal phase of emulsion, 150 mg of the drug and 700 mg of the polymer were dissolved in 5 ml of either dichloromethane or ethyl acetate. The

solution was homogenized using an Ultra-Turrax (T25 basic, IKA-Werke, Staufen, Germany) at 20 000 rpm for one minute to ensure homogenous solution. All at once it was emulsified into the 800 ml of aqueous continuous phase containing 0.1% (w/w) or 1% (w/w) PVA. The emulsion was stirred by a mechanical stirrer (Heidolph RZR 2021, Sigma Aldrich, St. Louis, USA) at 600 or 1000 rpm for 2 hours to ensure complete evaporation of the organic solvent. Prepared microparticles were collected on a fine mesh sieve (opening size 80 µm), washed three times with purified water and dried at 25 °C in a cabinet drier (HORO – 048B, Dr. Hofmann GmbH, Ostfildern, Germany). Every sample was prepared three times. Prepared samples were named in accordance with the type and values of altered variables (organic solvent selection, PVA concentration, stirring speed during evaporation). Samples characteristics are shown in Table 1.

### Microparticle characteristics

#### Drug content

The drug content was determined spectrophotometrically. The appropriate amount of dried PLGA microparticles (~ 10 mg of ibuprofen) was dissolved in 25 ml of the appropriate organic solvent (ethyl acetate or dichloromethane). Absorbance of the samples was measured at 264 nm using an UV/Vis spectrometer (Lambda 25, Perkin Elmer, Waltham, USA). Encapsulation efficiency (EE) and drug load (DL) were calculated from the obtained values by using the following equations<sup>8,9)</sup>:

$$EE = \frac{c_s}{c_t} \cdot 100 (\%) \quad [1]$$

where  $c_s$  corresponds to the actual ibuprofen content and  $c_t$  represents the theoretical drug load. The assay was carried out in triplicate. The results are expressed as mean values and standard deviations

$$DL = \frac{w_l}{w_2} \cdot 100 (\%) \quad [2]$$

where  $w_l$  is the weight of the drug in the microparticles,  $w_2$  is the gross weight of the microparticles. The assay was carried out in triplicate and results are stated as mean values and standard deviations.

Effectiveness of the process was also evaluated by yield, calculated by the following equation:

$$Yield = \frac{w_2}{w_t} \cdot 100 (\%) \quad [3]$$

where  $w_2$  is the gross weight of the microparticles,  $w_t$  is the total weight of the drug and the polymer used for microparticle preparation.

### Scanning electron microscopy

Scanning electron microscopy was employed to examine microparticle morphology and surface topography (SEM). The samples were mounted directly onto a SEM sample holder using a double-side sticking tape and then coated with a 10 nm thick layer of Au. Images were taken using a scanning electron microscope

Table 1. Variables during preparation of microparticles samples

Sample	Organic solvent	PVA concentration (%)	Stirring speed (rpm)
DM-L-600	DM	0.1	600
DM-L-1000	DM	0.1	1000
EA-L-600	EA	0.1	600
EA-L-1000	EA	0.1	1000
DM-H-600	DM	1	600
DM-H-1000	DM	1	1000
EA-H-600	EA	1	600
EA-H-1000	EA	1	1000

MIRA3 (Tescan, Brno, Czech Republic) at an accelerating voltage of 5.0 kV.

#### Particle distribution

Mean diameter and the particle size distribution of microparticles were measured by a laser diffraction particle size distribution analyser (Horiba Partica LA-300, Horiba Ltd., Tokyo, Japan). Prediluted microparticle sample in a volume of 100  $\mu$ l (0.5 g/ml of phosphate buffered saline) was injected into a cuvette-type fraction cell (filled with 10 ml of degassed and filtered phosphate buffered saline, pH of 7.2) equipped with a magnetic stirrer to prevent nonhomogeneous distribution owing to sedimentation of particles. All samples were measured immediately after the application into the cuvette and they were analysed for number-weighted size distribution.

#### In vitro release studies

The *in vitro* drug release studies of drug-loaded beads were carried out using a special adjusted basket method in an automatic dissolution apparatus (SOTAX AT 7 On-Line System, Donau Lab, Zürich, Switzerland) at 100 rpm. The dissolution medium volume used was 500 ml of phosphate buffer (pH 6.8) kept at  $37.0 \pm 0.5$  °C. Samples were analyzed using an UV spectrophotometer (Lambda 25, Perkin Elmer, St. Louis, USA) at 264 nm, in connection with the Sotax on-line software. The samples for dissolution test were weighted with respect to their encapsulation efficiency, so that 50 mg of ibuprofen were in each sample. *In vitro* drug release was observed for 72 hours and it was carried out twice for each sample. Results were expressed as average values and standard deviations.

## Results and discussion

### Particle size and morphological properties

#### Effect of the stirring speed

Size of the prepared microparticles ranged between 29.9 and 174.6  $\mu$ m. Results (Table 2) clearly show that the prepared microparticles mean size decreased when the stirring speed increased. This effect was also observed in the research work by Sansdrap and Moes. Higher power input leads to distribution of the internal phase into smaller droplets and provides smaller microspheres<sup>10</sup>.

#### Effect of PVA concentration

PVA concentration had a significant influence on microparticle size (Table 2) as mean size was decreasing with increasing PVA concentration. Mean size of the samples prepared with 0.1% PVA took values from 77.3 to 174.6  $\mu$ m. Mean size of microparticles prepared with 1% PVA ranged between 29.9 and 116.2  $\mu$ m. Explanation could be seen in improved stability of the created emulsion, where a higher concentration of PVA was used. This could result in creation of smaller microparticles<sup>11</sup>. Observable was also enhanced emulsion stabilization, resulting in narrower particle size distribution as showed in Figure 1 and Figure 2. This phenomenon is in agreement with the literature<sup>12</sup>. PVA concentration also had impact on morphological properties. SEM analysis (Fig. 3) showed that sample DM-L-600 provided approximately spherical microparticles with occasional deformities and holes. In contrast, DM-H-600 sample yielded compact, spherical, regular and more uniform microparticles.

Table 2. Microparticle characteristics – encapsulation efficiency, drug loading, yield, mean size and initial drug release

Sample	EE (%)	DL (%)	Yield (%)	Mean size ( $\mu$ m) (fraction %)	Initial drug release after 30 min (%)
DM-L-600	67.1 $\pm$ 1.47	18.2 $\pm$ 0.43	64.9	152.5–174.6 (43.9)	2.3 $\pm$ 0.61
DM-L-1000	61.4 $\pm$ 4.13	19.1 $\pm$ 0.66	56.8	77.3–88.6 (33.1)	16.6 $\pm$ 1.24
EA-L-600	39.5 $\pm$ 0.65	21.0 $\pm$ 0.75	41.7	101.5–116.2 (15.7)	6.3 $\pm$ 1.96
EA-L-1000	23.4 $\pm$ 1.87	16.5 $\pm$ 1.34	31.5	88.6–101.5 (16.6)	18.4 $\pm$ 2.87
DM-H-600	66.7 $\pm$ 2.99	14.8 $\pm$ 0.14	79.8	88.6–101.5 (72.3)	3.6 $\pm$ 0.64
DM-H-1000	51.5 $\pm$ 2.53	12.0 $\pm$ 0.25	75.8	29.9–34.3 (44.9)	16.9 $\pm$ 1.89
EA-H-600	36.1 $\pm$ 2.10	16.1 $\pm$ 0.75	50.6	101.5–116.2 (26.7)	17.6 $\pm$ 2.39
EA-H-1000	34.6 $\pm$ 2.12	15.2 $\pm$ 0.55	49.8	88.6–101.5 (23.2)	26.5 $\pm$ 4.42

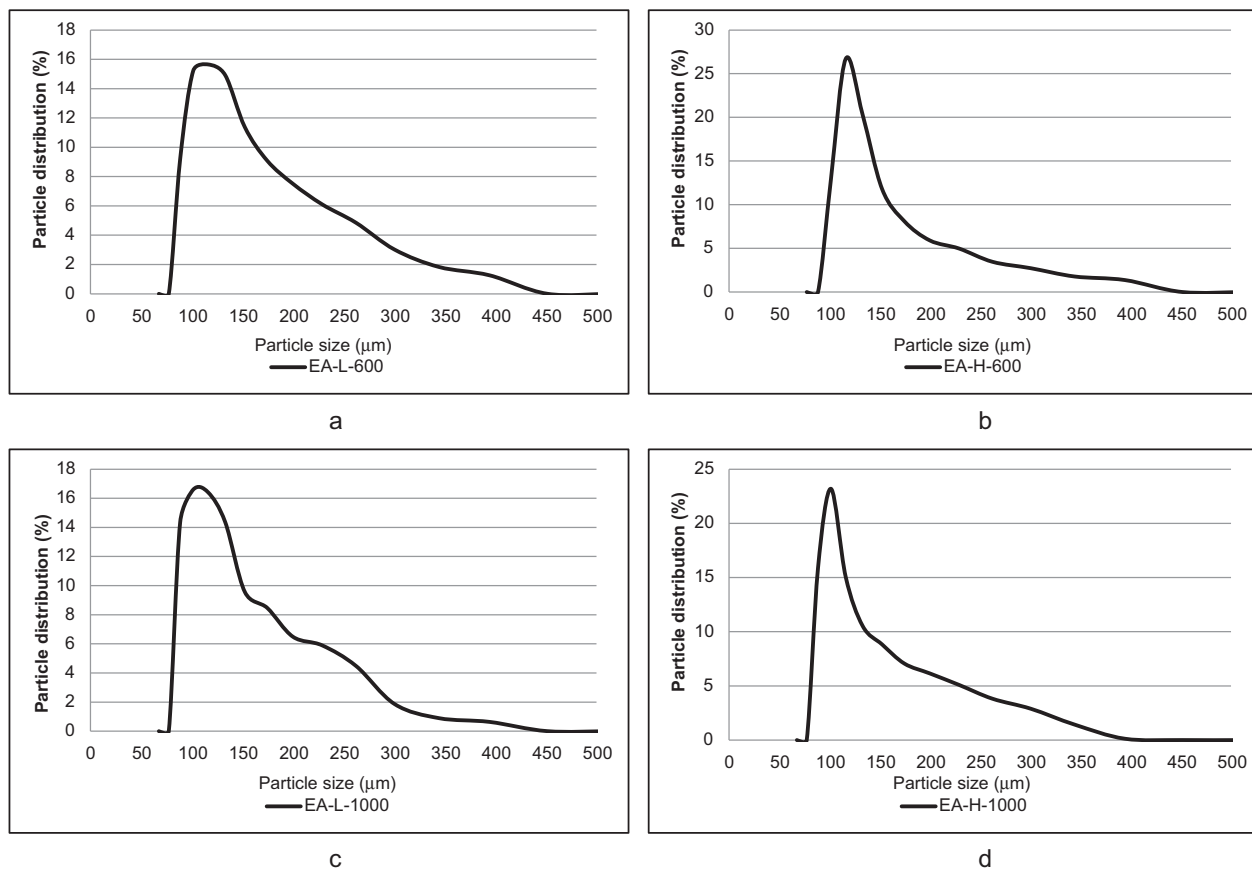


Fig. 1. Particle size distribution of PLGA microparticles; DM samples: a – DM-L-600, b – DM-H-600, c – DM-L-1000, d – DM-H-1000

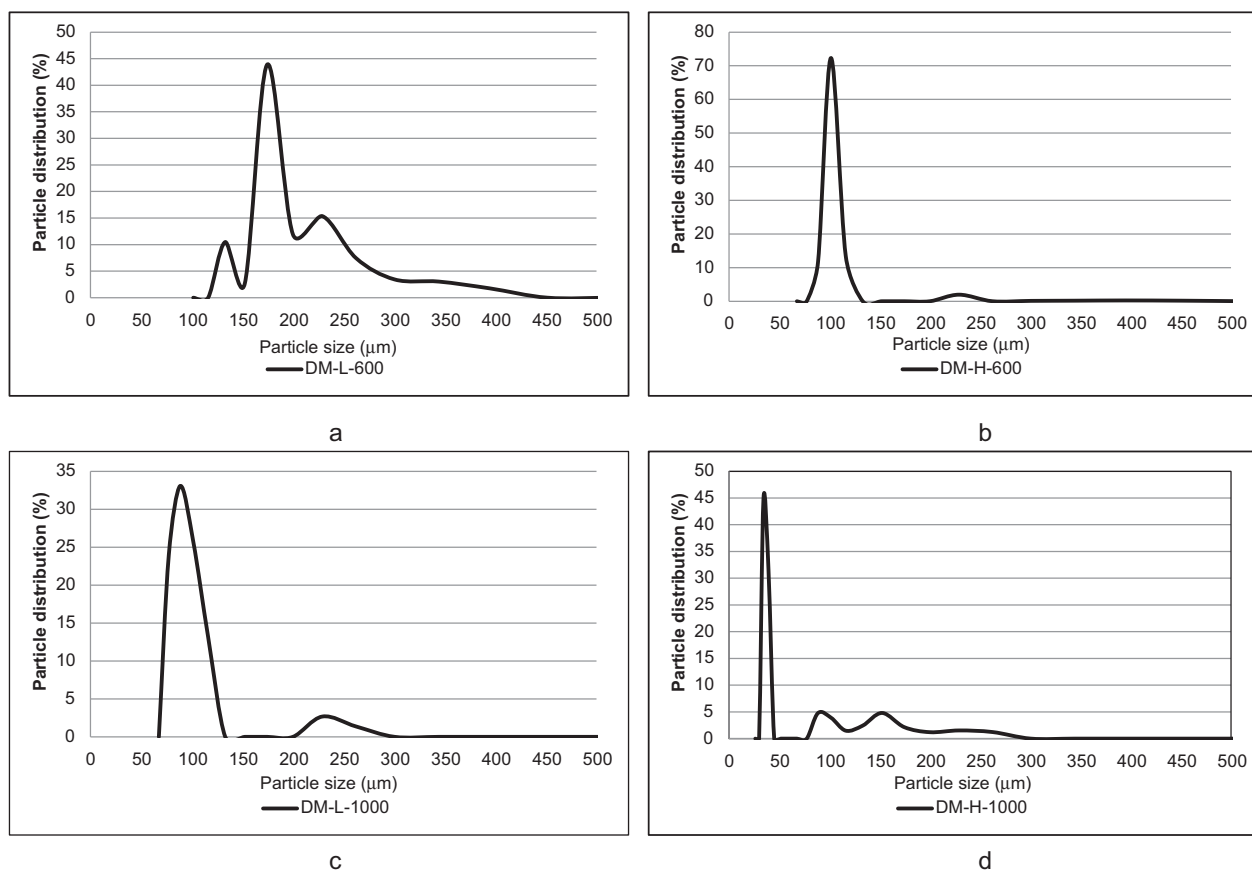


Fig. 2. Particle size distribution of PLGA microparticles; EA samples: a – EA-L-600, b – EA-H-600, c – EA-L-1000, d – EA-H-1000

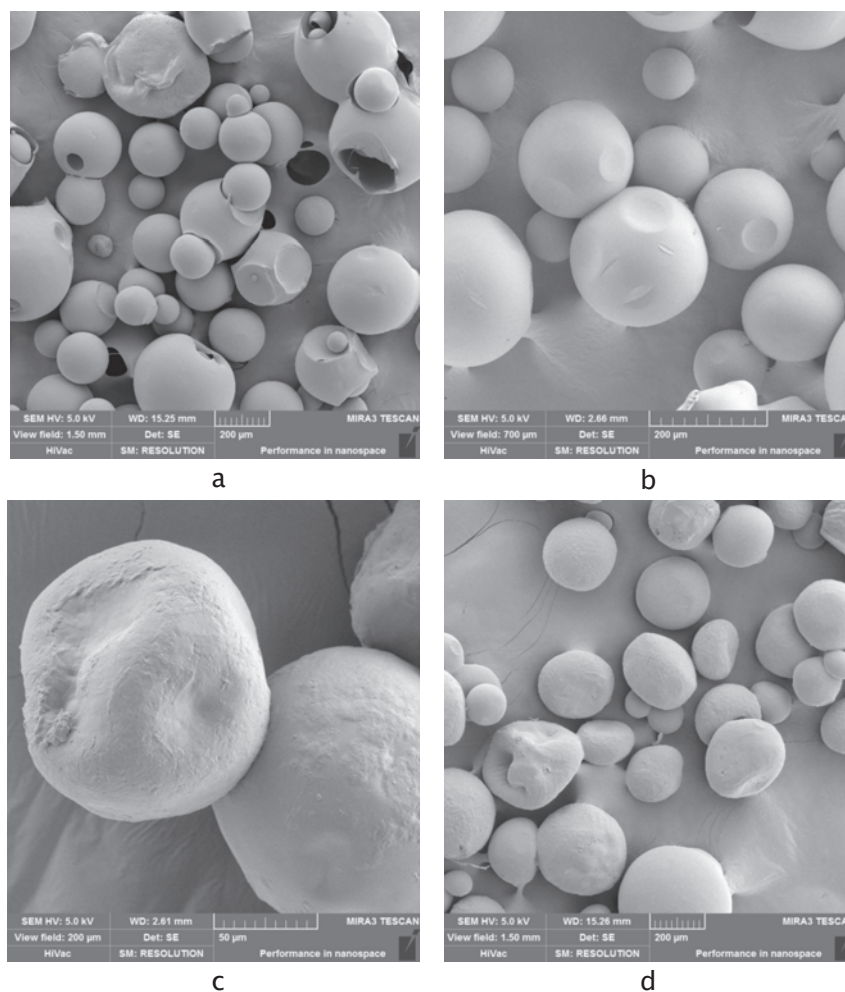


Fig. 3. SEM photographs of the surface topography of PLGA microparticles: a – DM-L-600, b – DM-H-600, c – EA-L-600, d – EA-H-600

#### *Effect of the organic solvent choice*

Selection of the organic solvent for the microparticle preparation had a significant effect on morphological properties. It is apparent that DM samples provided more regular and spherical microparticles with a relatively smooth surface (Fig. 3a,b). On the other hand, ET samples were of an irregular, non-spherical shape and a rough surface (Fig. 3c,d). An explanation can be found in higher polarity of EA in comparison with DM. This could worsen the formation process resulting in less quality microparticles.

#### *Encapsulation process*

##### *Effect of stirring speed*

Drug loading of the samples took values from 12.0 to 21.0% (Table 2). Generally, higher rotation speed had a negative effect on DL value, except the DM samples prepared with PVA solution of lower concentration. Similarly, the results of encapsulation efficiency brought strong evidence that this parameter was decreased with an increase in the stirring speed. DM samples prepared with 0.1% PVA may serve as an example. EE decreased from 67.1% to 61.4%, (5.7% decline), when the stirring speed was increased from 600 rpm to 1000 rpm. This effect was also observed in the research work by O'Donnell et McGinity<sup>13)</sup>. Higher power input of the increased stirring speed generates greater energy to the

emulsion system, causing more frequent breakdowns of the forming microparticles. EE is thus lower, just as the yield (Table 2).

##### *Effect of PVA concentration*

DL was lower in samples prepared with 1% PVA, as shown in Table 2. This observation is in agreement with the literature. High PVA concentration could facilitate distribution of IBU in the external phase<sup>14, 15)</sup>. PVA concentration also influenced EE when DM was used during preparation. From Table 2 it is obvious that EE was only slightly higher in samples prepared at a lower stirring speed (67.1% for DM-L-600 and 66.7% for DM-H-600). A more significant difference was seen in samples obtained at 1000 rpm (61.4% for DM-L-1000 and 51.5% for DM-H-1000). Increasing PVA concentration can lead to higher encapsulation efficiency<sup>16)</sup>, but only to a certain concentration value. Beyond this value, a decrease in EE follows, which could be the explanation of our results, too<sup>14, 17, 18)</sup>. This dependence was not observed in the EA samples. However, EA results might be slightly influenced by the tendency of the internal phase to adhere unpredictably to the shaft of the mechanical stirrer, contributing to a higher loss rate.

##### *Effect of the organic solvent choice*

No clear dependence of organic solvent selection on DL was found, when this process was carried out at



the stirring speed of 1000 rpm. At the slower rotation speed of 600 rpm, the higher DL was monitored for both PVA concentrations if EA was used as the organic solvent (Table 2). The results provided an unwavering proof that the organic solvent selection is the crucial parameter for encapsulation efficiency. DM samples showed higher EE and yield than their equivalent counterparts prepared with EA. EA has higher polarity than DM and is thus more miscible with water. Higher miscibility of EA with external phase was responsible for creation of less stable emulsion. This fact could lead to more frequent drug leakage from the emulsion internal phase to the external phase, causing lower EE and yield<sup>2, 13)</sup> (Table 2).

#### Drug release behavior of microparticles

The drug dissolution profiles obtained at pH 6.8 are shown in Figure 4 and Figure 5. In general, dissolution curves could be characterized as bi-phasic profiles with more or less significant *burst release* followed by sustained release of the drug for at least 72 hours. PLGA-based microparticles usually exhibit a tri-phasic profile. However, the influence of several factors such as pore formation or closure, drug solubility, drug amount, polymer molecular weight or its density can transform the tri-phasic profile to the bi-phasic one<sup>19–21)</sup>.

#### Effect of stirring speed

Figure 4 and Figure 5 provide evidence that all samples prepared at 1000 rpm exhibited a higher *burst effect* than their counterparts prepared at 600 rpm. This was followed by slightly faster drug release with a single exception of ET-H-1000, whose dissolution curve was eventually exceeded by ET-H-600. At 1000 rpm, smaller microparticles were prepared, and thus the samples had a larger surface area per weight unit than the samples prepared at 600 rpm. This fact could explain a more significant *burst effect* and faster IBU release<sup>18)</sup>. However, more factors could contribute to the higher *burst effect*, such as more extensive surface porosity and uneven drug spreading in the matrix increasing towards particle surface<sup>19)</sup>.

#### Effect of PVA concentration

Dissolution profiles clearly showed the influence of PVA concentration on drug release characteristics. Samples prepared with 1% PVA liberated the model drug faster in comparison with the samples prepared with lower PVA concentration. Once more, explanation can be found in the microparticle mean size. Higher PVA concentration ensured production of smaller microparticles with a larger surface per weight unit. This could result in faster IBU release. The effect is more evident in EA samples.

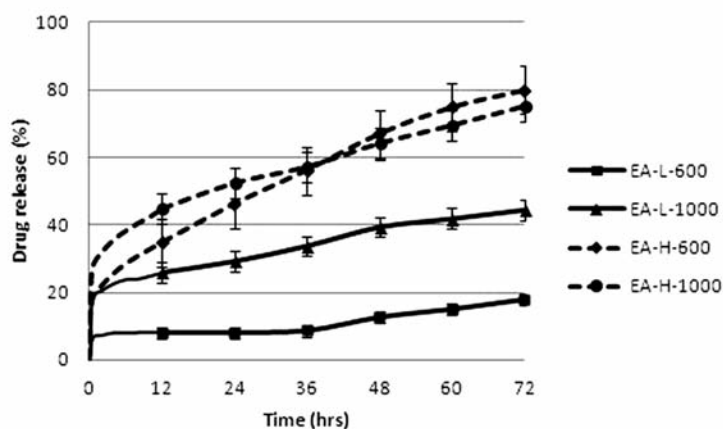


Fig. 4. In vitro release profiles of IBU; DM samples

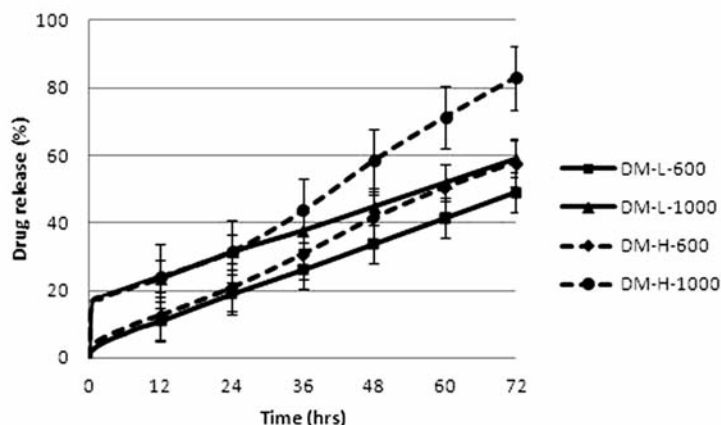


Fig. 5. In vitro release profiles of IBU; EA samples (EA-L-600 – SD max. = 2.54)

### Effect of organic solvent choice

Organic solvent choice was found to have significant influence on dissolution profiles of microparticles. Prepared samples released from 18.0 to 83.0% of IBU within 72 hours. All EA samples possessed a higher *burst effect* (6.3% drug released in 30 minutes for EA-L-600, 18.4% for EA-L-1000, 17.6% for EA-H-600, 26.5% for EA-H-1000) when compared to their equivalent DM counterparts (2.3% for DM-L-600, 16.6% for DM-L-1000, 3.6% for DM-H-600 and 16.9% for DM-H-1000). Subsequent release was slower in EA samples (18.0% drug released in 72 hours for EA-L-600, 44.5% for EA-L-1000, 75.0% for EA-H-1000) when confronted with DM samples (49.2% for DM-L-600, 59.0% for DM-L-1000, 83.0% for DM-H-1000). The only exception was seen in sample EA-H-600 (79.6%) which exhibited faster drug release than DM-H-600 (57.9%). In general, ethyl acetate samples prepared with 1% PVA experienced considerable improvement in drug release rate. This finding suggests that the result could be a combination of both, PVA concentration and organic solvent selection factors. Distinctions between EA and DM samples could be attributed to different volatility, boiling point and polarity of the solvents used<sup>22)</sup>, providing diverse matrix structures and shape.

### Conclusion

PLGA microparticles with sustained drug release of a practically insoluble drug were successfully prepared by the O/W solvent evaporation method. During *in vitro* dissolution test, samples released different drug amounts ranging between 18.0–83.0% within 72 hours. All parameters under study influenced the microparticle properties, such as encapsulation efficiency, yield, microparticle mean size, morphological properties and drug release. After evaluation of all results, the microparticles preparation at 600 rpm with 1% PVA concentration and dichloromethane as the organic solvent for the internal phase were considered to be optimal. The foundation obtained from this study allowed the incorporation of the drug with its own pharmacological effect.

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**Conflicts of interest:** none.

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